GTX-001 CIP2

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Marvin T. Ling

Serial No. : To Be Assigned

Filed : Herewith

For : METHOD AND APPARATUS FOR CONDUCTING

ELECTRONIC COMMERCE TRANSACTIONS USING

ELECTRONIC TOKENS

Hon. Assistant Commissioner for Patents Washington, D.C. 20231

### INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98, applicants wish to call the attention of the Examiner to the following documents:

#### U.S. Patents

Wright et al.	4,802,218	January 31, 1989
Ohta et al.	4,977,595	December 11, 1990
Bigari	5,010,485	April 23, 1991
Okamoto et al.	5,224,162	June 29, 1993
Guillou et al.	5,305,383	April 19, 1994
Akiyama et al.	5,539,825	July 23, 1996
Cordery et al.	5,655,023	August 5, 1997
Turk	5,671,364	September 23, 1997
Kravitz	5,832,089	November 3, 1998
Berger et al.	5,943,424	August 24, 1999
Turk et al.	5,983,207	November 9, 1999



#### Other Documents

O'Mahony et al., "Electronic Payment Systems," 1997, pp. 191-212.

"Payment mechanisms designed for the Internet," printed from the Internet at http://ganges.cs.tcd.ie/mepeirce/Project/ oninternet.html, on October 23, 2000.

"FC '97 Papers," Rafael Hirschfeld (Ed.), February 1997, printed from the Internet at http://www.arraydev.com/commerce/jibc/9704-30.htm, on October 20, 2000.

"Networked payment mechanisms & digital cash," printed from the Internet at http://inf2.pira.co.uk/top033.htm, on October 20, 2000.

"Small change: Micropayment," printed from the Internet at http://www2.computerworld.com/home/features.nsf/All/980223micropaylinks, on October 23, 2000.

"A plan for the Introduction of Internet Cash," printed from the Internet at http://www.jim.com/jamesd/kong/plan.htm, on October 20, 2000.

http://www.internetdollar.com, printed from the Internet on October 20, 2000.

"Micropayment methods," printed from the Internet at http://www.transaction.net/payment/micro.html, on October 20, 2000.

http://www.ecoin.net, printed from the Internet on October 20, 2000.

"Scaleable, Secure Cash Payment for WWW Resources with the PayMe Protocol Set," printed from the Internet at http://ganges.cs.tcd.ie/mepeirce/Project/Payme/Overview.html on October 23, 2000.

In accordance with 37 C.F.R. § 1.98 (d), applicants note that because copies of the above-cited documents were submitted by the Applicant in prior application 09/553,695, from which priority is claimed under 35 U.S.C. § 120, copies of the aforementioned documents are not submitted herewith.

Consideration of the foregoing in relation to this patent application is respectfully requested.

Respectfully submitted,

Steven J. Cahill

Reg. No. 44,578

Attorney for Applicant

c/o FISH & NEAVE

1251 Avenue of the Americas New York, New York 10020-1104

Tel.: (650) 617-4000

Sheet 1 of 2

**FORM PTO-1449** 

# U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. GTX-001 CIP2

FILING DATE

Herewith

SERIAL NO.
To Be Assigned

To Be Assigned

APPLICANT Marvin T. Ling

GROUP

INFORMATION DISCLOSURE STATEMENT BY APPLICANTS

U.S. PATENT DOCUMENTS

		U.S.	PATENT DOCUMEN	V15		
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,802,218	1/31/89	Wright et al.	380	23	
	4,977,595	12/11/90	Ohta et al.	380	24	La
	5,010,485	4/23/91	Bigari	364	408	C. 27.
	5,224,162	6/29/93	Okamoto et al.	380	24	. n
	5,305,383	4/19/94	Guillou et al.	380	24	126
	5,539,825	7/23/96	Akiyama et al.	380	24	) 62 <i>(</i>
	5,655,023	8/5/97	Cordery et al.	380	51	
	5,671,364	9/23/97	Turk	395	239	
	5,832,089	11/3/98	Kravitz	380	24	
	5,943,424	8/24/99	Berger et al.	380	25	
	5,983,207	11/9/99	Turk et al.	705	39	

OTHER D	OCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
	O'Mahony et al., "Electronic Payment Systems," 1997, pp. 191-212.
	"Payment mechanisms designed for the Internet," printed from the Internet at http://ganges.cs.tcd.ie/mepeirce/Project/ oninternet.html, on October 23, 2000.
	"FC '97 Papers," Rafael Hirschfeld (Ed.), February 1997, printed from the Internet at http://www.arraydev.com/commerce/jibc/9704-30.htm, on October 20, 2000.
	"Networked payment mechanisms & digital cash," printed from the Internet at http://inf2.pira.co.uk/top033.htm, on October 20, 2000.
	"Small change: Micropayment," printed from the Internet at http://www2.computerworld.com/home/features.nsf/All/ 980223micropaylinks, on October 23, 2000.
	"A plan for the Introduction of Internet Cash," printed from the Internet at http://www.jim.com/jamesd/kong/plan.htm, on October 20, 2000.
	http://www.internetdollar.com, printed from the Internet on October 20, 2000.
	"Micropayment methods," printed from the Internet at http://www.transaction.net/payment/micro.html, on October 20, 2000.
	http://www.ecoin.net, printed from the Internet on October 20, 2000.

#### **EXAMINER**

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 2 of 2

**FORM PTO-1449** 

## U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT BY APPLICANTS

ATTY. DOCKET NO.	SERIAL NO.
GTX-001 CIP2	To Be Assigned
APPLICANT Marvin T. Ling	
FILING DATE	GROUP
Herewith	To Be Assigned

OTHER D	OCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
	"Scaleable, Secure Cash Payment for WWW Resources with the PayMe Protocol Set," printed from the Internet at http://ganges.cs.tcd.ie/mepeirce/Project/Payme/Overview.html on October 23, 2000.	

**EXAMINER** 

DATE CONSIDERED